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10/803,363

03/17/2004

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PTC/SB/81 (06-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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First Named Inventor

Filing Date

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| CORF | RESPO | NDENCE ADDRESS | Title | | | | | | |
| Land America Vocale 22 | | | Art Unit | 9 mt 7 9 - 2 | | | Adhesion Layer for pt on SiO2 | | |
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| L | | | Attorney | | Numb 5 | | · · · · · · · · · · · · · · · · · · · | | |
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| Please recognize | or change | the correspondence address for the | above-identi | iod anal | landing to | | | | |
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| Firm a | or Iual Name | Classes B Adam 71 A A | | | | | | | |
| | uai ivarne | Slater & Matsil, L.L.P. | | | | | | | |
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| am the: | | | | Fax | 972-732-921 | В | | | |
| Applicant/l | Di Merko - | | | | | | | | |
| | | | | | | | | | |
| X Assignee | of record of | the entire interest. See 37 CFR 3.7 | 74 | | | | | | |
| Statement | under 37 C | FR 3.73(b) is enclosed. (Form PTC | 7/SB/96). | | | | | | |
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| ame | 1. V. You | | | | Date | 07.02.0 | W.C. | | |
| I.V. Post I.V. Girstenbreu | | | | | 07.03.20 | | | | |
| both authorized Patent administrator | | | | | | 234-28413 | | | |
| TE: Signatures of a | TE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple | | | | | | | | |
| ns if more than one | signature is m | equired, see below. | rest or their rep | resentativ | 9(s) ere required | L Submit r | nultiple | | |
| *Total of 2 | | re submitted. | | | | | | | |
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| STATEMENT UNDER 37 CFR 3. | over an easy to deplay a valid OMB control num |
| Applicant/Patent Owner: Infineon Technologies AG | <u>/3(B)</u> |
| Application No /Peters No. | |
| Application No./Patent No.: 10/803.363 Filed/Issue Date: 3/ | 17/2004 |
| Entitled: Adhesion Layer for pt on SiO2 | |
| Infineon Technologies AG, acorporation (Name of Assignee) | |
| (Type of Assignee, e.g., corpc | pration, partnership, university, government agency, etc.) |
| states that it is: | |
| and interest; or | |
| 2. X an assignee of less than the entire right, title and interest. | |
| The extent (by, percentage) of its ownership interest is 50 % n the patent application/patent identified above by virtue of either. | % |
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| A. [] An assignment from the inventor(s) of the patent application/patent identified States Patent and Trademark Office at Reel/Frame or for which | entified above. The assignment and recorded |
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| ··· | |
| [X] A chain of title from the inventor(s), of the patent application/patent ide shown below: | entified above, to the current assignee as |
| From: Jingyu Lian, Michael Wise, Young Limb, and Nicolas Nagel The document was recorded in the United States Patent and Traden Reel | or which a copy thereof is attached. |
| From: Infineon Technologies North America Com. To: Infineon T | echnologies AG |
| 3. From: | or which a copy thereof is attached. |
| The document was recorded in the United Course Du | |
| Reel, Frame, or for which a co | PDV thereof is attached |
| [] Additional documents in the chain of title are listed on a supplement. | al sheet. |
| Copies of assignments or other documents in the chain of title are attach [NOTE: A separate copy (i.e., a true copy of the original assignment do Division in accordance with 37 CFR Part 3, if the assignment is to I See MPEP 302.08] | ned. ocument(s)) must be submitted to Assignment be recorded in the records of the USPTO. |
| e undersigned (whose title is supplied below) is authorized to act on behalf of | the assignee. |
| 10.806 | |
| Signature Signature | 07.03.2005 |
| i.V.Post i.V. Girstenbreu | Date |
| Printed or Typed Name | |
| both authorized Patent administrator | Telephone Number |
| Title | - |

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| | value of control number |
|------------------------|--------------------------------|
| Application Number | 10/803,363 |
| Filling Date | 03/17/2004 |
| First Named Inventor | Lian, et al. |
| Title | Adhesion Layer for pt on SiO2 |
| Art Unit | 2812 |
| Examiner Name | |
| Attorney Docket Number | 2004P51100US/INTECH 3 0.050DIV |

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| X Practitioners associated with the Customer Number: | | | | 4 | 18154 | | ٦ |
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| Trademark Office | COULDECTEC | ent(s) to prosecute the application id d therewith. | dentified abov | /e, and to | a transact all bu | usiness in | n the United States Paten |
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| Firm or Individua | iel Name | Slater & Metsil, L.L.P. | | | | | |
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| Address | | Suita 1000 | | | | | |
| City | | Dallas | | State | TX | Zip | 75252 |
| Telephone | | US PTO TOO 4004 | | | | | 7000 |
| I am the: | | 972-732-1001 | | Fax | 972-732-9218 | 3 | |
| Applicant/In | | | | | | | |
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| | record of t under 37 C | the entire interest. See 37 CFR 3.7 CFR 3.73(b) is enclosed. (Form PTC | 71. | | | | |
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| Signature | - F | FIGNATURE of Appl | /icant or Ass | ignee of | Record | . | |
| Name | CALLE D | Anderson Anderson | / | | Date | 3-3-05 | |
| Title and Company | | | | | Telephone | 703,299 | 9.1455 |
| | | Manager - USPTO Liaison Interna | ational Busine | iss Mach | ines Corporatio | on | |
| | | ors or assigness of record of the entire inte required, see below. | erest of their re | presentati | we(s) are required | J. Submit r | multiple |
| X *Total of 2 | forms a | are submitted. | | | | | |
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| STATEMENT UNDER 37 CFR 3.73(| | | | | |
| Applicant/Patent Owner: Lian et al. | _ | | | | |
| Application No./Patent No.: 10/803,363 Filed/Issue Date: 3/17/ | 7004 | | | | |
| Adjesion caver for bit on SiO2 | 2004 | | | | |
| International Business Machines Comporation , a corporation | | | | | |
| | | | | | |
| States that it is: | on, partnership, university, government agency, etc.) | | | | |
| 1. the assignee of the entire right, title, and interest; or | | | | | |
| | | | | | |
| an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is | | | | | |
| in the patent application/patent identified above by virtue of either: | | | | | |
| A. [X] An assignment from the investorial to | | | | | |
| A. [X] An assignment from the inventor(s) of the patent application/patent identi in the United States Patent and Trademark Office at Reel/Frame 013952/0813 o | fled above. The assignment was recorded | | | | |
| OR | or which a copy thereof is attached. | | | | |
| B. [] A chain of title from the inventor(s), of the patent application/patent identified shown below; | than at the control of the control o | | | | |
| shown below: | ned above, to the current assignee as | | | | |
| 1. From: | | | | | |
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| , or for which a copy | thereof is attached. | | | | |
| 2. From: | | | | | |
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| [] Additional documents in the chain of title are listed on a supplemental s | arcieol is attached. | | | | |
| Copies of assignments or other decomposition and assignments or other decompositions. | heet. | | | | |
| NOTE: A separate convice a structure in the chain of title are attached. | | | | | |
| NOTE: A separate copy (i.e., a true copy of the original assignment documents in the chain of title are attached. Division in accordance with 37 CFR Part 3, if the assignment is to be respectively. | nent(s)) must be submitted to Assignment | | | | |
| | | | | | |
| The undersigned (whose title is sumplied below) is authorized to act on behalf of the | 9prianos | | | | |
| dea was del la | assignes. | | | | |
| Signature | 3-3-05 | | | | |
| Lynne D. Anderson | Date | | | | |
| Printed or Typed Name | 703.299.1455 | | | | |
| Program Manager - USPTO Liaison | Telephone Number | | | | |
| Title | | | | | |

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